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| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number     | 10/695,804           |
|   | Filing Date            | October 30, 2003     |
|   | First Named Inventor   | Hiroshi YUASA et al. |
|   | Group Art Unit         | 2811                 |
|   | Examiner Name          | A. Mai               |
| Total Number of Pages in This Submission  | Attorney Docket Number | 740816-1028          |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Supplemental Preliminary Amendment<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures<br><input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks   |   | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Donald R. Studebaker, Reg. No. 32,815<br>Nixon Peabody LLP<br>401 9 <sup>th</sup> Street, N.W.<br>Suite 900<br>Washington, D.C. 20004-2128 |
| Signature                                  |  |
| Date                                       | April 29, 2004   |

| CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]   |                       |
|--|-----------------------|
| I hereby certify that this correspondence is being:  |                       |
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|  | Typed or printed name |



Docket No. 740819-1028

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                 |   |                        |
|---------------------------------|---|------------------------|
| In re Patent Application of:    | ) |                        |
| Hiroshi Yuasa et al.            | ) | Examiner: A. Mai       |
| Serial No. 10/695,804           | ) |                        |
| Filed: October 30, 2003         | ) | Group Art Unit: 2811   |
| For: SEMICONDUCTOR DEVICE AND   | ) |                        |
| METHOD FOR FABRICATING THE SAME | ) | Confirmation No.: 6877 |

**SUPPLEMENTAL PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1540  
Alexandria, Virginia 22313-1450

Sir:

In supplement to the Preliminary Amendment of April 20, 2004 please preliminarily amend the subject application as follows: